



LEGAL NOTICE

AND

INVITATION TO BID B #001-25

The Brownsville Public Utilities Board will accept sealed bids for the Supply of LED Fixtures, **until 5:00 PM, October 30, 2024**, in the Brownsville PUB Purchasing Office, 1155 FM 511, Olmito, Texas 78575. **Bids received after this time will not be considered.**

Bids will be publicly opened and read aloud on October 31, 2024 at 10:00 AM. Bidders can request a copy of the bid tabulation by emailing hlopez@brownsville-pub.com. Vendors can call in at 10:00 AM, October 31, 2024 to (956) 214-6020 to listen to the bid opening.

Detailed specifications may be obtained at Brownsville Public Utilities Board website at https://www.brownsville-pub.com/rfp_status/open/.

Please mark on the **outside of the envelope and on any carrier's envelopes**: "SEALED BIDS FOR **SUPPLY OF LED FIXTURES (B001-25), OCTOBER 30, 2024 5:00 PM**", and send to the attention of Diane Solitaire, Purchasing Department, 1155 FM 511, Olmito, TX 78575.

The Brownsville Public Utilities Board will not be responsible in the event that the U.S. Postal Service or any other courier system fails to deliver the sealed bids to the Brownsville Public Utilities Board, Purchasing Office by the given deadline above. **No bid will be accepted via electronic transmission or facsimile.**

The Brownsville PUB reserves the right to reject any or all bids and to waive irregularities contained therein and to accept any bid deemed most advantageous to the Brownsville PUB.

BY:

Diane Solitaire

Purchasing

Brownsville Public Utilities Board

(956) 983-6366 - Phone

Please submit this page upon receipt.

ACKNOWLEDGEMENT FORM

B#001-25 Supply of LED Fixtures

For any clarifications, please contact Hugo E. Lopez at the Brownsville Public Utilities Board, Purchasing Department at (956) 983-6364 or (956) 983-6375 e-mail: hlopez@brownsville-pub.com

Please e-mail this page upon receipt of legal notice. If you only received the legal notice and you want the bid package mailed, please provide a method of shipment with account number in the space designated below.

Check one:

☐ **Yes, I will be able to send a bid; obtained bid package from website.**

☐ **Yes, I will be able to send a bid; please email the bid package.**

Email: _____

☐ **Yes, I will be able to send a bid; please mail the bid package using the carrier & account number listed below:**

Carrier: _____

Account: _____

☐ **No, I will not be able to send a bid for the following reason:**

If you are unable to send your bid, kindly indicate your reason for “No bid” above and return this form **via e-mail: hlopez@brownsville-pub.com or to dsolitaire@brownsville-pub.com**. This will ensure you remain active on our vendor list.

Date _____

Company: _____

Name: _____

Address: _____

City: _____ State: _____ Zip Code: _____

Phone: _____ E-mail: _____

IF SPECIFICATIONS ARE DOWNLOADED FROM WEBSITE PLEASE E-MAIL THIS PAGE

Special Instructions

Contract Information

- **Interpretation**

Questions concerning terms, conditions, and technical specifications should be directed to:

Hugo E. Lopez,
Purchasing Administrator
(956) 983-6375

or

Diane Solitaire, Purchasing & Materials
Manager
(956) 983-6366

- **Tentative Time Line**

1. October 14, 2024 to October 30, 2024 - Vendors work on bid.
2. October 30, 2024 at 5:00 PM CST –**Vendor must submit one (1) set of original bid document in a sealed envelope to:**

Diane Solitaire, Purchasing
1155 FM511,
Olmito, TX 78575

Bid #001-25 – Supply of LED Fixtures
Due: **October 30, 2024 at 5:00 PM**

*The above noted information must be included on bid envelope and on any carrier's envelope/package. The Brownsville Public Utilities Board **will not be held responsible for missing, lost or late mail.** Brownsville Public Utilities Board will not accept facsimile or electronic transmission of sealed bids.*

1. October 25, 2024- Last day to submit questions
2. October 31, 2024 - Open bids at 10:00 AM
3. November 1, 2024 – November 29, 2024 - Evaluate bids
4. November 29, 2024 – Provide Final Recommendations
5. December 9, 2024 - Send to Utilities Board for approval
6. Term of contract will commence December 10, 2024

- **Or Equal (RESERVED)**

Brand name or manufacturer's reference used in this request is descriptive – not restrictive – it is intended to indicate type and quality desired. Brands of like nature and quality will be considered. If bidding on other than referenced specifications please provide complete descriptive information of said article.

- **Pricing**

Bid unit price on quantity specified, extend and show total. In case of errors in extension, unit prices shall govern. **Price shall remain firm until an award is made and for a period of one year after original date of Purchase Order, unless otherwise stipulated.**

All fields (UNIT PRICE, DELIVERY AND MANUFACTURER) in the Cost Sheets must be completed. The data must be complete to identify the bidding brand.

Failure to submit any of the above information with the sealed bid will disqualify bid.

- **Vendor Representative**

The successful vendor agrees to send a personal representative with binding authority for the company to the Brownsville Public Utilities Board upon request to make adjustments and/or assist with coordination of all transactions as needed.

- **Quality of Products**

All items must be new, in first class condition, including containers suitable for shipment and storage. No substitutions in standard grades or lesser quality will be accepted.

- **Determining Factors for Award**

1. Bidders net price on bid items
2. Stock availability
3. Reputation of brand names offered
4. Reputation and location of the bidder
5. Time and conditions of delivery
6. BPUB financial and legal responsibility evaluations of any identified teaming arrangements involving significant joint ventures, subcontractors and suppliers

- **Contract with Vendor/Entity Indebted to BPUB**

It is a policy of the BPUB to refuse to enter into a contract or other transaction with an individual, sole proprietorship, joint venture, Limited Liability Company or other entity indebted to BPUB.

- **Vendor ACH (Direct Deposit) Services**

The BPUB has implemented a payment service for vendors by depositing the payment directly to the vendor's bank account. Successful vendor(s) will be required to receive payments directly through Automated Clearing House (ACH) in lieu of a paper check. **The awarded vendor must agree to receive payments via ACH (Direct Deposit).**

- **Tax Identification Number (TIN)**

In accordance with IRS Publication 1220, aW9 form, or a W8 form in cases of a foreign vendor, will be required of all vendors doing business with the Brownsville PUB. If a W9 or W8 form is not made available to Brownsville PUB, the first payment will be subject to income tax withholding at a rate of 28% or 30% depending on the U.S. status and the source of income as per IRS Publication 1220. **The W9 or W8 form must be included with bid response.** Attached are sample forms.

- **Taxes**

The Brownsville Public Utilities Board is exempt from Federal Excise Tax, State Tax and local Taxes. Do not include tax in the bid. If it is determined that tax was included in the bid it will not be included in the tabulation or any awards. Tax exemption certificates will be furnished upon request.

- **Signing of Bid**

Failure to sign bid will disqualify it. Person signing bid should show title or authority to bind their firm to a contract.

- **EEOC Guidelines**

During the performance of this contract, the contractor agrees not to discriminate against any employee or applicant for employment because of race, national origin, age, religion, gender, marital or veteran status, or physically challenging condition.

- **As Needed Basis**

Quantities are estimated for an annual supply and are **to be released on an “as needed” basis** and be billed as such. However, successful vendor must have stock readily available for immediate shipment to the BPUB. Brownsville PUB will not accept the full quantity at one time as specified on Cost Sheet.

- **Contract and Purchase Order**

The LED fixtures will be delivered FOB Brownsville Public Utilities Board, 1155 FM511, Olmito,, TX 78575. The material will be received in releases upon request and be billed as such over a period of one (1) year. A contract for the electrical inventory material will be placed into effect by means of a purchase order issued by the Brownsville Public Utilities Board after tabulation and final approval by the Brownsville PUB Board.

- **Terms**

One (1) year from the date of award not to exceed the one (1) year or the awarded amount whichever comes first, with the option to renew for an additional one (1) one year period if agreed upon in writing by both parties.

- **Brownsville Public Utilities Board Rights**

1. If only one or no bid is received by "submission date", the BPUB has the right to reject, re-bid, accept and/or extend the bid by up to an additional two (2) weeks from original submission date.
2. The right to reject any/or all bids and to make award as they may appear to be advantageous to the Brownsville Public Utilities Board.
3. The right to hold bid for 90 days from submission date without action, and to waive all formalities in bid.
4. The right to extend the total bid beyond the original 90-day period prior to an award, if agreed upon in writing by all parties (BPUB and vendor/contractor) and if bidder/vendor holds original bid prices firm.
5. The right to terminate for cause or convenience all or any part of the unfinished portion of the Project resulting from this solicitation within Thirty (30) calendar days written notice; for cause: upon default by the vendor/contractor, for delay or non-performance by the vendor/contractor; or if it is deemed in the best interest of the BPUB for BPUB's convenience.
6. The right to increase or decrease quantities. In bid, stipulate whether an increase or decrease in quantities will affect bid price
7. The Brownsville PUB has the right to refuse to enter into a contract or other transaction with any individual or entity indebted to the municipality as per Local Government Code 252.0436.

- **Corrections**

Any interpretation, correction, or change to the invitation to bid will be made by ADDENDUM. Changes or corrections will be issued by the Brownsville PUB Purchasing Department. **Addenda will be emailed to all who have returned the Bid Acknowledgment form.** Addenda will be issued as expeditiously as possible. It is the responsibility of the vendors to determine whether all addenda have been received. It will be the responsibility of all respondents to contact the Brownsville PUB prior to submitting a response to the invitation to bid to ascertain if any addenda have been issued, and to obtain any all addenda, execute them, and return addenda with the response to the invitation to bid. Addenda may be posted on the Brownsville PUB's website.

Special Instructions:

- Shipments will be on a month-to-month basis. **BPUB WILL NOT ACCEPT ONE FULL SHIPMENT.**
- Material shall be shipped immediately after being notified via e-mail or by phone. Vendor waiting for a Full Truckload (FTL) is not acceptable.

NOTE: Please make pricing on specification sheet legible.

- LED Fixtures must come with the **Standard NEMA Reflective Labels** with the wattage displayed
- Brownsville Public Utilities Board Approved Manufacturer's List

Acuity
Cooper/Eaton
Trastar
Excellence Opto
General Electric
Signify (Phillips)
Lumec (Phillips)
Visionaire

Any new manufacturer or brand name must be pre-approved to be part of the list in the bid document. Request for new material approval is located in page 23.

					QTY	UNIT PRICE	LEAD TIME
Commodity Number	Model #	Manufacturer	LED Power (Watts)	Label Wattage			

Fixture LED Floodlight							
E1219-02	75LED-P10-MVOLT-66-YK-0463-NL-P7	Acuity Brands	39W (120-277V)	40W	15 EA		
	UFLD-S-CA1-50-740-U-66-T-AP-20MSP-PR7	Cooper (formerly Eaton)	50W (120-277V)	50W			
Fixture LED Floodlight							
E1220-02	75LED-P40-MVOLT-66-YK-P7-0563-P7	Acuity Brands	99W (120-277V)	130W/101W	50 EA		
	75LED-P40-MVOLT-66-YK-0463-NL-P7	Acuity Brands	99W (120-277V)	130W/101W			
	UFLD-CA2-120-740-U-66-Y-AP-20MSP-PR7	Cooper (formerly Eaton)	124W (120-277V)	160W			
	EFL-1-A1-66-090-40M-900-I-U-1-5-3-3	Excellence Opto, Inc. (EOI)	130W (120-277V)	130W			
	EFL-1-A1-66-090-40M-900-I-U-1-5-4-3	Excellence Opto, Inc. (EOI)	130W (120-277V)	130W			
Fixture LED Floodlight							
E1221-02	EFL-1-L330-UD-66-40-GY-20V-7R-Y6-14	Excellence Opto, Inc. (EOI)	218W (120-277V)	220W	100 EA		
	EFL-1-L330-UD-66-40-GY-20F-7R-Y6-14	Excellence Opto, Inc. (EOI)	218W (120-277V)	220W			
	ACPOLED-P60-MVOLT-66-YK-P7-0463-NL	Acuity Brands	254W (120-277V)	250W/254W			
	ACPOLED-P60-MVOLT-66-YK-P7-0563	Acuity Brands	254W (120-277V)	250W/254W			
	UFLD-L-CA4-250-740-U-66-Y-AP-20MSP-PR7	Cooper (formerly Eaton)	251W (120-277V)	250W			
Cobra Head LED Fixture for Street Lighting and Security (Area) Lighting							
E1222-02	VERD-C016-D-U-T2-4N7-10MSP-AP	Cooper (formerly Eaton)	39W (120-277V)	40W	120 EA		
	ATBS P10 MVOLT R2 NL P7	Acuity Brands	40W (120-277V)	40W			
	ATBS E MVOLT R2 P7	Acuity Brands	40W (120-277V)	40W			
	DURA-STR10-4K-120-2-GR-SCLB	TraStar	40W (120-277V)	40W			
	ESU-F-A01-2M-016-40S-105-FIU1-1512N	Excellence Opto, Inc. (EOI)	55W (120-277V)	60W			
	ESU-F-A01-2M-016-40S-105-FIU1-1542N	Excellence Opto, Inc. (EOI)	55W (120-277V)	60W			

					QTY	UNIT PRICE	LEAD TIME
Commodity Number	Model #	Manufacturer	LED Power (Watts)	Label Wattage			

Cobra Head LED Fixture for Street Lighting and Security (Area) Lighting							
E1223-04	[RFM-004]-108W48LED4K-G2-R2M-UNV-DMG-API-ML-PH9-RCD-SP2-GY3	Signify (formerly Philips)	106W (120-277V)	110W	1,200 EA		
	RLX-3-T2-125W-4K-UNV-MAF-GY-7PINPER-DIM-20KV-EBL-UWL	Visionaire	125W (120-277V)	125W			
	ATB0 30BLEDE13 MVOLT R2 20 BL NL SH RFD244668	Acuity Brands	126W (120-277V)	130W			
	ERS20E3E17404GRAY083	General Electric	130W (120-277V)	N/A			
	VERD-G-A02-D-U-T2-4N7-20K-AP-TXDOT-D0027	Cooper (formerly Eaton)	143W (120-277V)	143W/120W			
	ESU-EA013P03240M-150DIU1-1648N	Excellence Opto, Inc. (EOI)	161W (120-277V)	160W			
	DURA-STR25-4K-120-2-GR-SCLB	TraStar	120W (120-277V)	120W			
Cobra Head LED Fixture for Street Lighting and Security (Area) Lighting, EXPRESSWAY							
E1223-03	[RFM-004]-108W48LED4K-G2-R2M-HVU-DMG-API-ML-PH9-RCD-SP2-GY3	Signify (formerly Philips)	106W (480V)	110W	50 EA		
	[RFM-004]-108W48LED4K-T-R2M[HVU-002]-DMG-ML-PH9-RCD-SP2- GY3	Lumec (formerly Phillips)	120W (480V)	110			
	RLX-3-T2-125W-4K-5-MAF-GY-7PINPER-DIM-20KV-EBL-UWL	Visionaire	125W (480V)	125W			
	VERD-C02H-D-8-T2-4N7-20K-AP-TXDOT-D96082	Cooper (formerly Eaton)	119W (480V)	120W			
	ATB0 30BLEDE13 480 R2 20 BL NL SH RFD244669	Acuity Brands	126W (480V)	130W			
	ERS2-H-E3-E1-7-40-4-GRAY-134	General Electric	130W (480V)	N/A			
	ESU-EA023P03240M150DIU1-1638N	Excellence Opto, Inc. (EOI)	161W (480V)	160W			
	DURA-STR25-4K-480-2-GR-SCLB	TraStar	120W (480V)	120W			

					QTY	UNIT PRICE	LEAD TIME
Commodity Number	Model #	Manufacturer	LED Power (Watts)	Label Wattage			

Cobra Head LED Fixtures for Street Lights							
E1224-02	[RFM-004]-160W48LED4K-G2-[R2S-001]-UNV-DMG-API-ML-PH9-RCD7-SP2- GY3	Signify (formerly Philips)	161W (120-277V)	160W	25 EA		
	[RFL-006]-180W80LED4K-T-R2M[UNIV-009]-DMG-ML-PH9-RCD-SP2- GY3	Lumec (formerly Philips)	200W (120-277V)	200W			
	ATBO P453 MVOLT R3 BL NL P7 SH TXDOT RFD322500	Acuity Brands	159W (120-277V)	160W			
	ATBL D MVOLT R2 4B 20 NL SH RFD209798	Acuity Brands	209W (120-277V)	209W			
	DURA-STR40-4K-120-2-GR-SCLB	TraStar	185W (120-277V)	185W			
	JXM-ST180-4-40K-120-2-GR-SCLB-G2	TraStar	155W (120-277V)	155W			
	ESU-EA013P04840L-150DIU1-1648N	Excellence Opto, Inc. (EOI)	235W (120-277V)	160W			
	ERS20H3E11404GRAY135	General Electric	285W (120-277V)	N/A			
Cobra Head LED Fixtures for Street Lights							
E1226-01	[RFM-004]-160W48LED4K-G2-[R2S-001]-HVU-DMG-API-ML-PH9-RCD7-SP2- GY3	Signify (formerly Philips)	161W (480V)	160W	10 EA		
	[RFL-006]-180W80LED4K-T-R2M[HVU-002]-DMG-ML-PH9-RCD-SP2- GY3	Lumec (Phillips)	200W (480V)	200W			
	ATBO P453 480 R3 BL NL P7 SH TXDOT RFD322499	Acuity Brands	159W (480V)	160W			
	ATBL D 480 R2 4B20 NL SH RFD220060	Acuity Brands	209W (480V)	209W			
	JXM-ST180-4-40K-480-2-GR-SCLB-G2	TraStar	155W (480V)	155W			
	DURA-STR40-4K-480-2-GR-SCLB	TrasStar	185W (480V)	185W			
	ESU-EA023P04840L-150DIU1-1638N	Excellence Opto, Inc. (EOI)	235W (480V)	160W			
	ERS2HH3E11404GRAY136	General Electric	285W (480V)	N/A			

Special Instructions:

- **VENDOR SHALL NOT WITHDRAW THEIR BID WITHIN 90 DAYS FROM THE OPENING DATE. DILIGENCE IS HIGHLY RECOMMENDED.**

Material is to be released as requested and be billed as such. The noted quantities are based on a yearly usage, the Purchase Order quantities are subject to BPUB's right to increase or decrease as deemed necessary. Stipulate below, if an increase or decrease in quantity affects bid price:

(____) Yes, an increase or decrease in quantity affects bid price.

(____) No, an increase or decrease in quantity will not affect bid price.

Price shall remain firm for 12 months after date of purchase order.

Company Name:_____

Authorized Company Representative:_____

Print Name

Authorized Company Representative:_____

Signature *Failure to sign bid will disqualify it*

Company Address:_____

Telephone #: _____

Fax #: _____

Email: _____

REQUIRED FORMS CHECKLIST

The following forms are to be submitted as a part of the Bid/RFP/RFQ document

NAME	FORM DESCRIPTION	SUBMITTED WITH BID	
		YES	NO
Legal Notice	Acknowledgement Form	☐	☐
	Debarment Certification	☐	☐
	Ethics Statement	☐	☐
	Conflict of Interest Questionnaire	☐	☐
	W9 or W8 Form	☐	☐
	Direct Deposit Form (will be provided to the awarded vendor)	☐	☐
	Residence Certification Form	☐	☐
Special Instructions	Bid Schedule/Cost sheet completed and signed	☐	☐
	Cashier Check or Bid Bond of 5% of Total Amount of Bid (if applicable)	☐	☐
	OSHA 300 Log (if applicable)	☐	☐
	Contractor Pre-Bid Disclosure completed, signed and notarized (if applicable)	☐	☐
	Sub-Contractor Pre-Bid Disclosure completed, signed, and notarized (if applicable)	☐	☐
		☐	☐
References	Complete the Previous Customer Reference Worksheet for each reference provided	☐	☐
Addenda			

ETHICS STATEMENT

(THIS FORM MUST BE COMPLETED IN ITS ENTIRETY AND SUBMITTED WITH BID RESPONSE)

The undersigned bidder, by signing and executing this bid, certifies and represents to the Brownsville Public Utilities Board that bidder has not offered, conferred or agreed to confer any pecuniary benefit, as defined by (1.07 (a) (6) of the Texas Penal Code, or any other thing of value as consideration for the receipt of information or any special treatment of advantage relating to this bid; the bidder also certifies and represents that the bidder has not offered, conferred or agreed to confer any pecuniary benefit or other thing of value as consideration for the recipient's decision, opinion, recommendation, vote or other exercise of discretion concerning this bid, the bidder certifies and represents that bidder has neither coerced nor attempted to influence the exercise of discretion by any officer, trustee, agent or employee of the Brownsville Public Utilities Board concerning this bid on the basis of any consideration not authorized by law; the bidder also certifies and represents that bidder has not received any information not available to other bidders so as to give the undersigned a preferential advantage with respect to this bid; the bidder further certifies and represents that bidder has not violated any state, federal, or local law, regulation or ordinance relating to bribery, improper influence, collusion or the like and that bidder will not in the future offer, confer, or agree to confer any pecuniary benefit or other thing of value of any officer, trustee, agent or employee of the Brownsville Public Utilities Board in return for the person having exercised their person's official discretion, power or duty with respect to this bid; the bidder certifies and represents that it has not now and will not in the future offer, confer, or agree to confer a pecuniary benefit or other thing of value to any officer, trustee, agent, or employee of the Brownsville Public Utilities Board in connection with information regarding this bid, the submission of this bid, the award of this bid or the performance, delivery or sale pursuant to this bid.

THE BIDDER SHALL DEFEND, INDEMNIFY, AND HOLD HARMLESS THE BROWNSVILLE PUBLIC UTILITIES BOARD, ALL OF ITS OFFICERS, AGENTS AND EMPLOYEES FROM AND AGAINST ALL CLAIMS, ACTIONS, SUITS, DEMANDS, PROCEEDING, COSTS, DAMAGES, AND LIABILITIES, ARISING OUT OF, CONNECTED WITH, OR RESULTING FROM ANY ACTS OR OMISSIONS OF CONTRACTOR OR ANY AGENT, EMPLOYEE, SUBCONTRACTOR, OR SUPPLIER OF CONTRACTOR IN THE EXECUTION OR PERFORMANCE OF THIS BID.

I have read all of the specifications and general bid requirements and do hereby certify that all items submitted meet specifications.

COMPANY: _____
AGENT NAME: _____
AGENT SIGNATURE: _____
ADDRESS: _____
CITY: _____
STATE: _____ ZIP CODE: _____
TELEPHONE: _____ TELEFAX: _____
FEDERAL ID#: _____ AND/OR SOCIAL SECURITY #: _____

DEVIATIONS FROM SPECIFICATIONS IF ANY:

NOTE: QUESTIONS AND CONCERNS FROM PROSPECTIVE CONTRACTORS SHOULD BE RAISED WITH OWNER AND ITS CONSULTANT (IF APPLICABLE) AND RESOLVED IF POSSIBLE, PRIOR TO THE BID SUBMITTAL DATE. ANY LISTED DEVIATIONS IN A FINALLY SUBMITTED BID MAY ALLOW THE OWNER TO REJECT A BID AS NON-RESPONSIVE.

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION, AND OTHER
RESPONSIBILITY MATTERS (THIS FORM MUST BE COMPLETED IN ITS ENTIRETY
AND SUBMITTED WITH BID RESPONSE)**

Name of Entity: _____

The prospective participant certifies to the best of their knowledge and belief that they and their principals:

- a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- b) Have not within a three year period preceding this bid been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or Local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- c) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State, Local) with commission of any of the offenses enumerated in paragraph (1) (b) of this certification; and
- d) Have not within a three year period preceding this application/bid had one or more public transactions (Federal, State, or Local) terminated for cause or default.

I understand that a false statement on this certification may be grounds for rejection of this bid or termination of the award. In addition, under 18 USC Section 1001, a false statement may result in a fine up to a \$10,000.00 or imprisonment for up to five (5) years, or both.

Name and Title of Authorized Representative (Typed)

Signature of Authorized Representative

Date

☐ **I am unable to certify to the above statements. My explanation is attached**

FORM CIQ

**THIS FORM MUST BE COMPLETED IN ITS ENTIRETY AND SUBMITTED
WITH PROPOSAL RESPONSE**

CONFLICT OF INTEREST QUESTIONNAIRE For vendor doing business with local governmental entity		FORM CIQ
This questionnaire reflects changes made to the law by H.B. 23, 84th Leg., Regular Session. This questionnaire is being filed in accordance with Chapter 176, Local Government Code, by a vendor who has a business relationship as defined by Section 176.001(1-a) with a local governmental entity and the vendor meets requirements under Section 176.006(a). By law this questionnaire must be filed with the records administrator of the local governmental entity not later than the 7th business day after the date the vendor becomes aware of facts that require the statement to be filed. See Section 176.006(a-1), Local Government Code. A vendor commits an offense if the vendor knowingly violates Section 176.006, Local Government Code. An offense under this section is a misdemeanor.	OFFICE USE ONLY	
1 Name of vendor who has a business relationship with local governmental entity.		
2 ☐ Check this box if you are filing an update to a previously filed questionnaire. (The law requires that you file an updated completed questionnaire with the appropriate filing authority not later than the 7th business day after the date on which you became aware that the originally filed questionnaire was incomplete or inaccurate.)		
3 Name of local government officer about whom the information is being disclosed. Name of Officer		
4 Describe each employment or other business relationship with the local government officer, or a family member of the officer, as described by Section 176.003(a)(2)(A). Also describe any family relationship with the local government officer. Complete subparts A and B for each employment or business relationship described. Attach additional pages to this Form CIQ as necessary. A. Is the local government officer or a family member of the officer receiving or likely to receive taxable income, other than investment income, from the vendor? ☐ Yes ☐ No B. Is the vendor receiving or likely to receive taxable income, other than investment income, from or at the direction of the local government officer or a family member of the officer AND the taxable income is not received from the local governmental entity? ☐ Yes ☐ No		
5 Describe each employment or business relationship that the vendor named in Section 1 maintains with a corporation or other business entity with respect to which the local government officer serves as an officer or director, or holds an ownership interest of one percent or more.		
6 ☐ Check this box if the vendor has given the local government officer or a family member of the officer one or more gifts as described in Section 176.003(a)(2)(B), excluding gifts described in Section 176.003(a-1).		
7 Signature of vendor doing business with the governmental entity Date		

CONFLICT OF INTEREST QUESTIONNAIRE
For vendor doing business with local governmental entity

A complete copy of Chapter 176 of the Local Government Code may be found at <http://www.statutes.legis.state.tx.us/Docs/LG/htm/LG.176.htm>. For easy reference, below are some of the sections cited on this form.

Local Government Code § 176.001(1-a): "Business relationship" means a connection between two or more parties based on commercial activity of one of the parties. The term does not include a connection based on:

- (A) a transaction that is subject to rate or fee regulation by a federal, state, or local governmental entity or an agency of a federal, state, or local governmental entity;
- (B) a transaction conducted at a price and subject to terms available to the public; or
- (C) a purchase or lease of goods or services from a person that is chartered by a state or federal agency and that is subject to regular examination by, and reporting to, that agency.

Local Government Code § 176.003(a)(2)(A) and (B):

(a) A local government officer shall file a conflicts disclosure statement with respect to a vendor if:

(2) the vendor:

(A) has an employment or other business relationship with the local government officer or a family member of the officer that results in the officer or family member receiving taxable income, other than investment income, that exceeds \$2,500 during the 12-month period preceding the date that the officer becomes aware that

- (i) a contract between the local governmental entity and vendor has been executed;
- or
- (ii) the local governmental entity is considering entering into a contract with the vendor;

(B) has given to the local government officer or a family member of the officer one or more gifts that have an aggregate value of more than \$100 in the 12-month period preceding the date the officer becomes aware that:

- (i) a contract between the local governmental entity and vendor has been executed; or
- (ii) the local governmental entity is considering entering into a contract with the vendor.

Local Government Code § 176.006(a) and (a-1)

(a) A vendor shall file a completed conflict of interest questionnaire if the vendor has a business relationship with a local governmental entity and:

- (1) has an employment or other business relationship with a local government officer of that local governmental entity, or a family member of the officer, described by Section 176.003(a)(2)(A);
- (2) has given a local government officer of that local governmental entity, or a family member of the officer, one or more gifts with the aggregate value specified by Section 176.003(a)(2)(B), excluding any gift described by Section 176.003(a-1); or
- (3) has a family relationship with a local government officer of that local governmental entity.

(a-1) The completed conflict of interest questionnaire must be filed with the appropriate records administrator not later than the seventh business day after the later of:

(1) the date that the vendor:

- (A) begins discussions or negotiations to enter into a contract with the local governmental entity; or
- (B) submits to the local governmental entity an application, response to a request for proposals or bids, correspondence, or another writing related to a potential contract with the local governmental entity; or

(2) the date the vendor becomes aware:

- (A) of an employment or other business relationship with a local government officer, or a family member of the officer, described by Subsection (a);
- (B) that the vendor has given one or more gifts described by Subsection (a); or
- (C) of a family relationship with a local government officer.

CERTIFICATE OF INTERESTED PARTIES-FORM 1295

Special message: Please read the Special Notification regarding HB 1295 effective January 1, 2016, implemented by the Texas Ethics Commission, which requires business entities to provide a completed Form 1295 to Brownsville PUB with signed contracts in order to execute them.

In 2015, the Texas Legislature adopted House Bill 1295. The law states that a governmental entity or state agency may not enter into certain contracts with a business entity unless the business entity submits a disclosure of interested parties to the governmental entity or state agency at the time the business entity submits the signed contract to the governmental entity or state agency. The law applies to a contract of a governmental entity or state agency that either (1) requires an action or vote by the governing body of the entity or agency before the contract may be signed or (2) has a value of at least \$1 million. The disclosure requirement applies to a contract entered into on or after January 1, 2016.

To implement the law, the Texas Ethics Commission (TEC) adopted new rules necessary to prescribe the disclosure of interested parties form, and post a copy of the form on the commission's website. The commission adopted the Certificate of Interested Parties form, Form 1295, on October 5, 2015. The commission also adopted new rules as part of Chapter 46 of the Texas Administrative Code on November 30, 2015.

On January 1, 2016, TEC made a new filing application available on their website for business entities to use to both create and file Form 1295. Business entities will enter the required information on Form 1295 within the application and print a copy of the completed form, which will include a certification of filing with a unique certification number. An authorized agent of the business entity will need to sign the printed copy of the form and have the form notarized. The completed Form 1295 with the certification of filing must be included with the signed contract to the governmental body or state agency in order for the governmental body to execute the contract.

Brownsville PUB will then notify the commission, using TEC's filing application, of the receipt of the filed Form 1295 with the certification of filing not later than the 30th day after the date the contract binds all parties to the contract.

TEC will then post the business entity's completed Form 1295 to its website within seven (7) business days after receiving notice from Brownsville PUB acknowledging that it was received.

To obtain additional information on HB 1295, to learn more about TEC's process to create a new account or to complete an electronic version of Form 1295 for submission with a signed contract, please go to the following link:
https://ethics.state.tx.us/whatsnew/elf_info_form1295.htm

NOTE: IF AWARDED THIS CONTRACT, FORM 1295 WILL BE SUBMITTED AT THE TIME THE SIGNED CONTRACT IS SUBMITTED TO BPUB. ____YES____NO

CERTIFICATE OF INTERESTED PARTIES**FORM 1295**

Complete Nos. 1 - 4 and 6 if there are interested parties.
Complete Nos. 1, 2, 3, 5, and 6 if there are no interested parties.

OFFICE USE ONLY

1 Name of business entity filing form, and the city, state and country of the business entity's place of business.

2 Name of governmental entity or state agency that is a party to the contract for which the form is being filed.

3 Provide the identification number used by the governmental entity or state agency to track or identify the contract, and provide a description of the goods or services to be provided under the contract.

4 Name of Interested Party	City, State, Country (place of business)	Nature of Interest (check applicable)	
		Controlling	Intermediary

5 Check only if there is NO Interested Party. ☐

6 UNSWORN DECLARATION

My name is _____, and my date of birth is _____.

My address is _____, _____, _____, _____, _____.
(street) (city) (state) (zip code) (country)

I declare under penalty of perjury that the foregoing is true and correct.

Executed in _____ County, State of _____, on the _____ day of _____, 20____.
(month) (year)

Signature of authorized agent of contracting business entity
(Declarant)

ADD ADDITIONAL PAGES AS NECESSARY

Organization Name
State Law Verifications

I, _____ (Person's name), the undersigned
representative of (Company or Business name)

_____ (hereafter referred to
as the "Company") being an adult over the age of eighteen (18) years of age, after being
duly sworn by the undersigned notary, do hereby depose and verify under oath as
follows:

- **IRAN, SUDAN AND FOREIGN TERRORIST ORGANIZATIONS:** By submission of a response to City of Brownsville Public Utilities Board ("BPUB") **Request for Bids (the "RFB")**, the responding Company represents that, to the extent this proposal submission or any contracts executed in response to this proposal constitutes a governmental contract within the meaning of Section 2252.151 of the Texas Government Code, as amended, solely for purposes of compliance with Section 2252.152 of the Texas Government Code, and except to the extent otherwise required by applicable federal law, neither the responding Company, nor any wholly owned subsidiary, majority-owned subsidiary, parent company or affiliate of the Company is a company listed by the Texas Comptroller of Public Accounts under Sections 806.051, 807.051, or 2252.153 of the Texas Government Code.
- **ANTI-BOYCOTT ISRAEL VERIFICATION:** By submission of a response to the BPUB RFB, the responding Company represents that, to the extent this proposal submission, or any contracts executed in response to this proposal, constitutes a contract for goods or services within the meaning of Section 2271.002 of the Texas Government Code, as amended, solely for purposes of compliance with Chapter 2271 of the Texas Government Code, and subject to applicable federal law, including without limitation, 50 U.S.C. Section 4607, the responding Company, as well as any wholly owned subsidiary, majority-owned subsidiary, parent company or affiliate of the Company, (1) does not boycott Israel and (2) will not boycott Israel through the term of any such contract. The term "boycott Israel" as used in this paragraph has the meaning assigned to such term in Section 808.001 of the Texas Government Code, as amended.
- **VERIFICATION REGARDING NO DISCRIMINATION AGAINST FIREARMS:** By submission of a response to the BPUB RFB, the responding Company represents that, to the extent this proposal submission, or any contracts executed in response to this proposal, constitutes a contract for goods or services for which a written verification is required under Section 2274.002, Texas Government Code (as added by Senate Bill 19, 87th Texas Legislature, Regular Session), as amended, the responding Company hereby verifies that it, as well as any wholly owned subsidiary, majority-owned subsidiary, parent company or affiliate of the Firm, (1) does not have a practice, policy, guidance, or directive

that discriminates against a firearm entity or firearm trade association; and (2) will not discriminate during the term of any such contract against a firearm entity or firearm trade association. The foregoing verification is made solely to comply with Section 2274.002, Texas Government Code (as added by Senate Bill 19, 87th Texas Legislature, Regular Session), as amended, to the extent such section does not contravene applicable Texas or federal law. As used in the foregoing verification, “discriminate against a firearm entity or firearm trade association” shall have the meaning assigned to such term in Section 2274.001, Texas Government Code (as added by Senate Bill 19, 87th Texas Legislature, Regular Session).

- **VERIFICATION REGARDING NO ENERGY COMPANY BOYCOTTS:**
By submission of a response to the BPUB RFB, the responding Company represents that, to the extent this proposal submission, or any contracts executed in response to this proposal, constitutes a contract for goods or services for which a written verification is required under Section 2274.002, Texas Government Code (as added by Senate Bill 13, 87th Texas Legislature, Regular Session), as amended, the responding Company hereby verifies that the responding Company, as well as any wholly owned subsidiary, majority-owned subsidiary, parent company or affiliate of the Firm, (1) does not boycott energy companies and (2) will not boycott energy companies during the term of any such contract. The foregoing verification is made solely to comply with Section 2274.002, Texas Government Code (as added by Senate Bill 13, 87th Texas Legislature, Regular Session), as amended, to the extent such section does not contravene applicable Texas or federal law. As used in the foregoing verification, “boycott energy companies” shall have the meaning assigned to such term in Section 809.001(1), Texas Government Code.

DATE
REPRESENTATIVE

SIGNATURE OF COMPANY

On this the ____ day of _____, 20____, personally appeared

_____, the above-named person, who after by
me being duly sworn, did swear and confirm that the above is true and correct.

NOTARY SEAL _____

NOTARY SIGNATURE _____

Date

BROWNSVILLE PUBLIC UTILITIES BOARD
RESIDENCE CERTIFICATION

In accordance with Art. 601g, as passed by the 1985 Texas Legislature, the following will apply. The pertinent portion of the Act has been extracted and is as follows:

Section 1. (a)

(1) "Nonresident bidder" means a bidder whose principal place of business is not in this state, but excludes a contractor whose ultimate parent company or majority owner has its principal place of business in this state.

(2) "Texas resident bidder " means a bidder whose principal place of business is in this state, and includes a contractor whose ultimate parent company or majority owner has its principal place of business in this state.

Section 1. (b)

The state or governmental agency of the state may not award a contract for general construction, improvements, services, or public works projects or purchases of supplies, materials or equipment to a nonresident bidder unless the nonresident's bid is lower than the lowest bid submitted by a responsible Texas resident bidder by the same amount that a Texas resident bidder would be required to underbid a nonresident bidder to obtain a comparable contract in the state in which the nonresident's principal place of business is located.

I certify that _____
(Company Name) is a **resident Texas bidder** as defined in Art. 601g.

Signature: _____

Print Name: _____

I certify that _____
(Company Name) is a **nonresident bidder** as defined in Art. 601g. and our principal place of business is: _____
(City and State)

Signature: _____

Print Name: _____

Organization Name
House Bill 89 Verification

I, _____ (Person name), the undersigned
representative of (Company or Business
name) _____

_____ (hereafter referred to as
company) being an adult over the age of eighteen (18) years of age, after being duly sworn
by the undersigned notary, do hereby depose and verify under oath that the company named-
above, under
the provisions of Subtitle F, Title 10, Government Code Chapter 2270:

1. Does not boycott Israel currently; and
2. Will not boycott Israel during the term of the contract providing that:
 - (1) "company" does not include a sole proprietorship; and
 - (2) the law applies only to a contract that:
 - (a) is between a governmental entity and a company with 10 or more full-time employees; and
 - (b) has a value of \$100,000 or more that is to be paid wholly or partly from public funds or the governmental entity

Pursuant to Section 2270.001, Texas Government Code:

1. "Boycott Israel" means refusing to deal with, terminating business activities with, or otherwise taking any action that is intended to penalize, inflict economic harm on, or limit commercial relations specifically with Israel, or with a person or entity doing business in Israel or in an Israeli-controlled territory, but does not include an action made for ordinary business purposes; and

2. "Company" means a for-profit sole proprietorship, organization, association, corporation, partnership, joint venture, limited partnership, limited liability partnership, or any limited liability company, including a wholly owned subsidiary, majority-owned subsidiary, parent company or affiliate of those entities or business associations that exist to make a profit.

DATE

SIGNATURE OF COMPANY REPRESENTATIVE

On this the ____ day of _____, 20____, personally appeared

_____, the above-named person, who after by me
being duly sworn, did swear and confirm that the above is true and correct.

NOTARY SEAL _____

NOTARY SIGNATURE _____

Date



Material submitted on this form is intended to verify the product suitability for use as part of the service area throughout the city of Brownsville. BPUB Electrical Engineering Department will evaluate the product to determine if product meets BPUB's requirements, needs, and quality. Request shall include, but shall not be limited to a list of all standards (TCLP, ANSI, ICEA, ASTM, ACSR), and any certification (UL, ASSE, etc.) of the material.

The proposed material shall include the specification sheet with product detail and manufacturer information.

Request for Material Approval

Requestor Name: _____	Date: _____
Company Name : _____	_____
Street Address: _____	
City/ State/ Zip code: _____	
Phone #: _____	
Fax #: _____	

Material and Manufacturer Product

Description	Part Number	History in Market Utilities Related	Reference Information	Approved by:

**Request for Taxpayer
Identification Number and Certification**

Go to www.irs.gov/FormW9 for instructions and the latest information.

**Give form to the
requester. Do not
send to the IRS.**

Before you begin. For guidance related to the purpose of Form W-9, see *Purpose of Form*, below.

Print or type. See Specific Instructions on page 3.	1 Name of entity/individual. An entry is required. (For a sole proprietor or disregarded entity, enter the owner's name on line 1, and enter the business/disregarded entity's name on line 2.)	
	2 Business name/disregarded entity name, if different from above.	
	3a Check the appropriate box for federal tax classification of the entity/individual whose name is entered on line 1. Check only one of the following seven boxes. ☐ Individual/sole proprietor ☐ C corporation ☐ S corporation ☐ Partnership ☐ Trust/estate ☐ LLC. Enter the tax classification (C = C corporation, S = S corporation, P = Partnership) Note: Check the "LLC" box above and, in the entry space, enter the appropriate code (C, S, or P) for the tax classification of the LLC, unless it is a disregarded entity. A disregarded entity should instead check the appropriate box for the tax classification of its owner. ☐ Other (see instructions)	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) _____ Exemption from Foreign Account Tax Compliance Act (FATCA) reporting code (if any) _____ (Applies to accounts maintained outside the United States.)
	3b If on line 3a you checked "Partnership" or "Trust/estate," or checked "LLC" and entered "P" as its tax classification, and you are providing this form to a partnership, trust, or estate in which you have an ownership interest, check this box if you have any foreign partners, owners, or beneficiaries. See instructions ☐	
	5 Address (number, street, and apt. or suite no.). See instructions.	Requester's name and address (optional)
	6 City, state, and ZIP code	
	7 List account number(s) here (optional)	

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Note: If the account is in more than one name, see the instructions for line 1. See also *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Social security number	
or	
Employer identification number	

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and, generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here	Signature of U.S. person	Date
------------------	--------------------------	------

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

What's New

Line 3a has been modified to clarify how a disregarded entity completes this line. An LLC that is a disregarded entity should check the appropriate box for the tax classification of its owner. Otherwise, it should check the "LLC" box and enter its appropriate tax classification.

New line 3b has been added to this form. A flow-through entity is required to complete this line to indicate that it has direct or indirect foreign partners, owners, or beneficiaries when it provides the Form W-9 to another flow-through entity in which it has an ownership interest. This change is intended to provide a flow-through entity with information regarding the status of its indirect foreign partners, owners, or beneficiaries, so that it can satisfy any applicable reporting requirements. For example, a partnership that has any indirect foreign partners may be required to complete Schedules K-2 and K-3. See the Partnership Instructions for Schedules K-2 and K-3 (Form 1065).

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS is giving you this form because they

Form W-8BEN-E

(Rev. October 2021)

Department of the Treasury
Internal Revenue Service**Certificate of Status of Beneficial Owner for
United States Tax Withholding and Reporting (Entities)**

► For use by entities. Individuals must use Form W-8BEN. ► Section references are to the Internal Revenue Code.
► Go to www.irs.gov/FormW8BENE for instructions and the latest information.
► Give this form to the withholding agent or payer. Do not send to the IRS.

OMB No. 1545-1621

Do NOT use this form for:

- U.S. entity or U.S. citizen or resident W-9
- A foreign individual W-8BEN (Individual) or Form 8233
- A foreign individual or entity claiming that income is effectively connected with the conduct of trade or business within the United States (unless claiming treaty benefits) W-8ECI
- A foreign partnership, a foreign simple trust, or a foreign grantor trust (unless claiming treaty benefits) (see instructions for exceptions) . . . W-8IMY
- A foreign government, international organization, foreign central bank of issue, foreign tax-exempt organization, foreign private foundation, or government of a U.S. possession claiming that income is effectively connected U.S. income or that is claiming the applicability of section(s) 115(2), 501(c), 892, 895, or 1443(b) (unless claiming treaty benefits) (see instructions for other exceptions) W-8ECI or W-8EXP
- Any person acting as an intermediary (including a qualified intermediary acting as a qualified derivatives dealer) W-8IMY

Instead use Form:**Part I Identification of Beneficial Owner**

1 Name of organization that is the beneficial owner	2 Country of incorporation or organization																
3 Name of disregarded entity receiving the payment (if applicable, see instructions)																	
4 Chapter 3 Status (entity type) (Must check one box only): <table style="width: 100%;"><tr><td>☐ Simple trust</td><td>☐ Tax-exempt organization</td><td>☐ Corporation</td><td>☐ Partnership</td></tr><tr><td>☐ Central Bank of Issue</td><td>☐ Private foundation</td><td>☐ Complex trust</td><td>☐ Foreign Government - Controlled Entity</td></tr><tr><td>☐ Grantor trust</td><td>☐ Disregarded entity</td><td>☐ Estate</td><td>☐ Foreign Government - Integral Part</td></tr><tr><td colspan="2"></td><td>☐ International organization</td><td></td></tr></table> If you entered disregarded entity, partnership, simple trust, or grantor trust above, is the entity a hybrid making a treaty claim? If "Yes," complete Part III. ☐ Yes ☐ No		☐ Simple trust	☐ Tax-exempt organization	☐ Corporation	☐ Partnership	☐ Central Bank of Issue	☐ Private foundation	☐ Complex trust	☐ Foreign Government - Controlled Entity	☐ Grantor trust	☐ Disregarded entity	☐ Estate	☐ Foreign Government - Integral Part			☐ International organization	
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☐ Grantor trust	☐ Disregarded entity	☐ Estate	☐ Foreign Government - Integral Part														
		☐ International organization															
5 Chapter 4 Status (FATCA status) (See instructions for details and complete the certification below for the entity's applicable status.) <table style="width: 100%;"><tr><td style="width: 50%; vertical-align: top;">☐ Nonparticipating FFI (including an FFI related to a Reporting IGA FFI other than a deemed-compliant FFI, participating FFI, or exempt beneficial owner). ☐ Participating FFI. ☐ Reporting Model 1 FFI. ☐ Reporting Model 2 FFI. ☐ Registered deemed-compliant FFI (other than a reporting Model 1 FFI, sponsored FFI, or nonreporting IGA FFI covered in Part XII). See instructions. ☐ Sponsored FFI. Complete Part IV. ☐ Certified deemed-compliant nonregistering local bank. Complete Part V. ☐ Certified deemed-compliant FFI with only low-value accounts. Complete Part VI. ☐ Certified deemed-compliant sponsored, closely held investment vehicle. Complete Part VII. ☐ Certified deemed-compliant limited life debt investment entity. Complete Part VIII. ☐ Certain investment entities that do not maintain financial accounts. Complete Part IX. ☐ Owner-documented FFI. Complete Part X. ☐ Restricted distributor. Complete Part XI.</td><td style="width: 50%; vertical-align: top;">☐ Nonreporting IGA FFI. Complete Part XII. ☐ Foreign government, government of a U.S. possession, or foreign central bank of issue. Complete Part XIII. ☐ International organization. Complete Part XIV. ☐ Exempt retirement plans. Complete Part XV. ☐ Entity wholly owned by exempt beneficial owners. Complete Part XVI. ☐ Territory financial institution. Complete Part XVII. ☐ Excepted nonfinancial group entity. Complete Part XVIII. ☐ Excepted nonfinancial start-up company. Complete Part XIX. ☐ Excepted nonfinancial entity in liquidation or bankruptcy. Complete Part XX. ☐ 501(c) organization. Complete Part XXI. ☐ Nonprofit organization. Complete Part XXII. ☐ Publicly traded NFFE or NFFE affiliate of a publicly traded corporation. Complete Part XXIII. ☐ Excepted territory NFFE. Complete Part XXIV. ☐ Active NFFE. Complete Part XXV. ☐ Passive NFFE. Complete Part XXVI. ☐ Excepted inter-affiliate FFI. Complete Part XXVII. ☐ Direct reporting NFFE. ☐ Sponsored direct reporting NFFE. Complete Part XXVIII. ☐ Account that is not a financial account.</td></tr></table>		☐ Nonparticipating FFI (including an FFI related to a Reporting IGA FFI other than a deemed-compliant FFI, participating FFI, or exempt beneficial owner). ☐ Participating FFI. ☐ Reporting Model 1 FFI. ☐ Reporting Model 2 FFI. ☐ Registered deemed-compliant FFI (other than a reporting Model 1 FFI, sponsored FFI, or nonreporting IGA FFI covered in Part XII). See instructions. ☐ Sponsored FFI. Complete Part IV. ☐ Certified deemed-compliant nonregistering local bank. Complete Part V. ☐ Certified deemed-compliant FFI with only low-value accounts. Complete Part VI. ☐ Certified deemed-compliant sponsored, closely held investment vehicle. Complete Part VII. ☐ Certified deemed-compliant limited life debt investment entity. Complete Part VIII. ☐ Certain investment entities that do not maintain financial accounts. Complete Part IX. ☐ Owner-documented FFI. Complete Part X. ☐ Restricted distributor. Complete Part XI.	☐ Nonreporting IGA FFI. Complete Part XII. ☐ Foreign government, government of a U.S. possession, or foreign central bank of issue. Complete Part XIII. ☐ International organization. Complete Part XIV. ☐ Exempt retirement plans. Complete Part XV. ☐ Entity wholly owned by exempt beneficial owners. Complete Part XVI. ☐ Territory financial institution. Complete Part XVII. ☐ Excepted nonfinancial group entity. Complete Part XVIII. ☐ Excepted nonfinancial start-up company. Complete Part XIX. ☐ Excepted nonfinancial entity in liquidation or bankruptcy. Complete Part XX. ☐ 501(c) organization. Complete Part XXI. ☐ Nonprofit organization. Complete Part XXII. ☐ Publicly traded NFFE or NFFE affiliate of a publicly traded corporation. Complete Part XXIII. ☐ Excepted territory NFFE. Complete Part XXIV. ☐ Active NFFE. Complete Part XXV. ☐ Passive NFFE. Complete Part XXVI. ☐ Excepted inter-affiliate FFI. Complete Part XXVII. ☐ Direct reporting NFFE. ☐ Sponsored direct reporting NFFE. Complete Part XXVIII. ☐ Account that is not a financial account.														
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6 Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address (other than a registered address).																	
City or town, state or province. Include postal code where appropriate.	Country																
7 Mailing address (if different from above)																	
City or town, state or province. Include postal code where appropriate.	Country																

For Paperwork Reduction Act Notice, see separate instructions.

Cat. No. 59689N

Form **W-8BEN-E** (Rev. 10-2021)